



UNITED STATES PATENT AND TRADEMARK OFFICE

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JAN 30 2002  
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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/821,839         | 03/29/2001          | Hong Ma               | 99-2205-13291/00016    |

CONFIRMATION NO. 3569

Janet E. Reed, Ph.D.  
SAUL EWING LLP  
Centre Square West, 38th Floor  
1500 Market Street  
Philadelphia, PA 19102-2186

RECEIVED  
IP GROUP  
OCT 05 2001  
SAULEWING REMICK  
& SAUL LLP

FORMALITIES LETTER



\*OC000000006827190\*

Date Mailed: 10/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/2002 MABDI1 00000125 09821839

FILED UNDER 37 CFR 1.53(b)

|        |           |
|--------|-----------|
| FC:201 | 370.00 OP |
| FC:205 | 65.00 OP  |
| FC:202 | 126.00 OP |
| FC:203 | 54.00 OP  |

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$392.
  - \$72 for 4 total claims over 20.
  - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1232.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is

Adjustment date: 03/11/2002 MMARML  
02/04/2002 MABDI1 00000125 09821839  
04 FC:203 -54.00 OP

03/11/2002 MMARML 00000003 233050 09821839  
01 FC:203 36.00 OP  
02 FC:202 24.00 CH 18.00 OP

identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

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*B/S*  
*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

DOCKET NO.: PSU-0020



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PATENT

# 6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
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In Re Application of:

Hong Ma

Serial No.: 09/821,839

Group No.: 1638

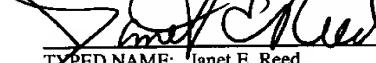
Filing Date: March 29, 2001

Examiner: Not Assigned Yet

For: Plant Gene Required for Male Meiosis

DATE OF DEPOSIT: 1/21/02

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

  
TYPED NAME: Janet E. Reed  
REGISTRATION NO.: 36,252

DISK TO STIC

DATE: 10/16/01

2002 O E NYR

OPIE

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
REQUEST FOR EXTENSION OF TIME

In response to the "Notice to File Missing Parts of Application-Filing Date Granted" dated October 2, 2001, a response to which is due December 2, 2001, enclosed herewith for filing is:

- A copy of the Notice to File Missing Parts.
- The original Combined Declaration and Power of Attorney, executed by the inventor(s).
- An Associate Power of Attorney is also enclosed.
- A check in the amount of \$671.00 is attached to cover the small entity statutory basic filing fee, additional claims, surcharge, and extension of time fee.

02/04/2002 MABDI1 00000125 09821039

05 FC:215

\$5.00 04

Ref#: Ref: 02/04/2002 MABDI1 001455200  
Name/Number: 09821039  
Att: 233050

\$1.00 CR

- Request for Corrected Filing Receipt.
- A substitute specification in compliance with 37 C.F.R. 1.52.
- \_\_\_\_\_ Sheets of Substitute drawings in compliance with 37 C.F.R. 1.84.
- Other: Statement to Support Filing and Submission of Sequence Listing;  
Electronic Version of Sequence Listing and Paper Copy of Sequence Listing.
- Applicant(s) has previously claimed small entity status under 37 CFR §1.27.
- Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
  - an Independent Inventor
  - a Small Business Concern
  - a Nonprofit Organization
- Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application of October 2, 2001 to and through January 2, 2002, comprising an extension of the shortened period of one month:

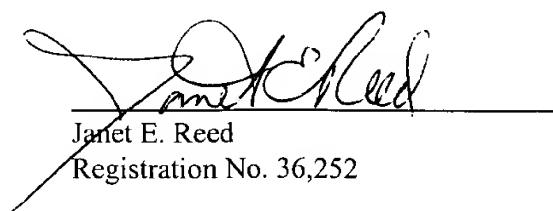
|   | SMALL ENTITY |          | NOT SMALL ENTITY |     |
|---|--------------|----------|------------------|-----|
|   | RATE         | Fee      | RATE             | Fee |
| MISSING PARTS SURCHARGE   | \$65         | \$65.00  | \$130            | \$  |
| <input checked="" type="checkbox"/> ONE MONTH EXTENSION OF TIME | \$55         | \$55.00  | \$110            | \$  |
| <input type="checkbox"/> TWO MONTH EXTENSION OF TIME            | \$200        | \$       | \$400            | \$  |
| <input type="checkbox"/> THREE MONTH EXTENSION OF TIME          | \$460        | \$       | \$720            | \$  |
| <input type="checkbox"/> FOUR MONTH EXTENSION OF TIME           | \$720        | \$       | \$1440           | \$  |
| <input type="checkbox"/> FIVE MONTH EXTENSION OF TIME           | \$980        | \$       | \$1960           | \$  |
| TOTAL FEE DUE   |              | \$110.00 |                  |     |

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

- A check in the amount of \$671.00 is attached to cover the small entity statutory basic filing fee, additional claims, surcharge, and extension of time fee. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$\_\_\_\_\_.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
- Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

This sheet is attached in duplicate.

Date: 1/2/02



Janet E. Reed  
Registration No. 36,252

Woodcock Washburn LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439